



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 09/849,096 Confirmation No.: 1934  
Applicant : SOLGAARD, Olav, et al.  
Title : MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH  
Filed : 05/04/2001  
TC/A.U. : 2874  
Examiner : LEE, JOHN D.  
Docket No. : UC97-156-8  
Cust. No. : 8156

**Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22213-1450**

**REQUEST FOR VERIFICATION OF CONSIDERATION OF  
INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In response to the Notice of Allowability mailed March 23, 2004, the Applicant respectfully requests verification that the Examiner has considered:

1. the Applicant's Electronic Information Disclosure Statement filed on July 9, 2003, a copy of which is enclosed as Exhibit A;
2. the Applicant's Electronic Information Disclosure Statement filed on April 5, 2004, a copy of which is enclosed as Exhibit B.

Each of the foregoing Information Disclosure Statements was received by the USPTO but the Applicant has not been provided with initialed copies showing that the references were considered.

Please provide the Applicant with verification of consideration of the foregoing  
Information Disclosure Statements.

Date: 6/7/2009

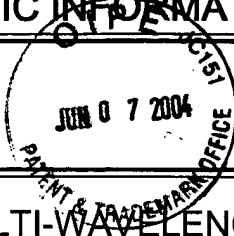
Respectfully submitted,

  
John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

**Title of  
Invention****MULTI-WAVELENGTH CROSS-CONNECT OPTICAL  
SWITCH**

Application Number: 09/849096 \*09/849096\*  
Confirmation Number: 1934  
First Named Applicant: OLAV SOLGAARD  
Attorney Docket Number: UC97-156-8  
Art Unit: 2874  
Examiner: John D. Lee  
Search string: ( 4012147 or 4076421 ).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

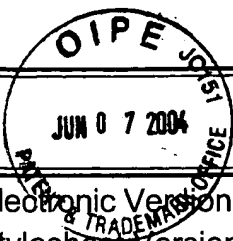
That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

**US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date       | Patentee     | Kind | Class | Subclass |
|------|----------|------------|------------|--------------|------|-------|----------|
|      | 1        | 4012147    | 1997-03-15 | Walrafen, G. |      |       |          |
|      | 2        | 4076421    | 1998-02-28 | Kirshner, S. |      |       |          |

**Signature****Examiner Name****Date**



**UNITED STATES PATENT AND TRADEMARK OFFICE  
ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1

Stylesheet Version v1.1.1

**Title of  
Invention**

**MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

**Submission Type:** Information Disclosure Statement

**Application Number:** 09/849096

**\*09/849096\***

**EFS ID:** 43208

**Server Response:**

| Confirmation Code | Message   |
|-------------------|---|
| ISVR1             | Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application   |
| ICON1             | 1934  |
| ISYS5             | Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later. |

**First Named Applicant:** OLAV SOLGAARD

**Attorney Docket Number:** UC97-156-8

**Timestamp:** 2003-07-09 12:42:14 EDT

**From:** us

**File Listing:**

| Doc. Name        | File Name            | Size (Bytes) |
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| us-fee-sheet     | us-fee-sheet.dtd     | 10901        |
| us-ids           | ids070903-usidst.xml | 1828         |
| us-ids           | us-ids.dtd           | 7763         |
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| Total files size |                      | 107341       |

**Message Digest:**

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Digital Certificate Holder cn=John P. Obanion,ou=Registered  
Name: Attorneys,ou=Patent and Trademark  
Office,ou=Department of Commerce,o=U.S.  
Government,c=US

**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

JUN 07 2004

**Title of  
Invention****MULTI-WAVELENGTH CROSS-CONNECT OPTICAL  
SWITCH**

Application Number: 09/849096 \*09/849096\*

Confirmation Number: 1934

First Named Applicant: OLAV SOLGAARD

Attorney Docket Number: UC97-156-8

Art Unit: 2874

Examiner: JOHN D. LEE

Search string: ( 4790654 ).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

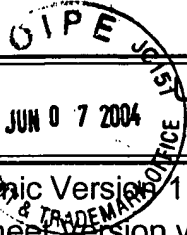
**US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date       | Patentee | Kind | Class | Subclass |
|------|----------|------------|------------|----------|------|-------|----------|
|      | 1        | 4790654    | 1988-12-13 | CLARKE   |      |       |          |

**Signature**

| Examiner Name | Date |
|---------------|------|
|               |      |



**UNITED STATES PATENT AND TRADEMARK OFFICE  
ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1  
Stylesheet Version v1.1.1

**Title of  
Invention**

**MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

Submission Type: Information Disclosure Statement

Application Number: 09/849096

**\*09/849096\***

EFS ID: 58459

Server Response:

| Confirmation Code | Message   |
|-------------------|---|
| ISVR1             | Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application   |
| ICON1             | 1934  |
| ISYS5             | Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later. |

First Named Applicant: OLAV SOLGAARD

Attorney Docket Number: UC97-156-8

Timestamp: 2004-04-05 20:24:49 EDT

From: us

File Listing:

| Doc. Name        | File Name           | Size (Bytes) |
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| us-ids           | 971568-usidst.xml   | 1670         |
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| package-data     | us-package-data.xsl | 19263        |
| Total files size |                     | 107343       |

Message Digest: 8653aec03bd970d70971a84d999a96ea90af1cb2

Digital Certificate Holder Name: cn=John P. Obanion,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US





**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that the enclosed:

Request for Verification of Consideration of Information Disclosure

Statement with Exhibits A through B

is being deposited with the United States Postal Service on 6/7/04 in  
an envelope as "Express Mail Post Office to Addressee" Mailing Label Number  
EV352302747US addressed to the: Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.

JOHN P. O'BANION

(Type or print name of person mailing paper)

(Signature of person mailing paper)